

Introduction

Governing your scheme

Managing risks and issues

Administration

Results

Action plan

The Pensions Regulator

Managing risks and issues

Question 1 - Do you have procedures in place for assessing and managing risk?

Yes

Question 2 - Do you have a risk register?

Yes

Question 3 - Where you have outsourced services, do you ensure that providers demonstrate that they have internal controls in place?

Yes

Question 4 - Do you have a service level agreement, or equivalent, in place with your scheme administrators, whether in house or outsourced?

No

Question 5 - Are your internal dispute resolution arrangements clearly communicated to members and others?

Yes

Question 6 - Do you have procedures in place to identify, assess and report breaches of the law?

Yes